

## PROCESS OVERVIEW

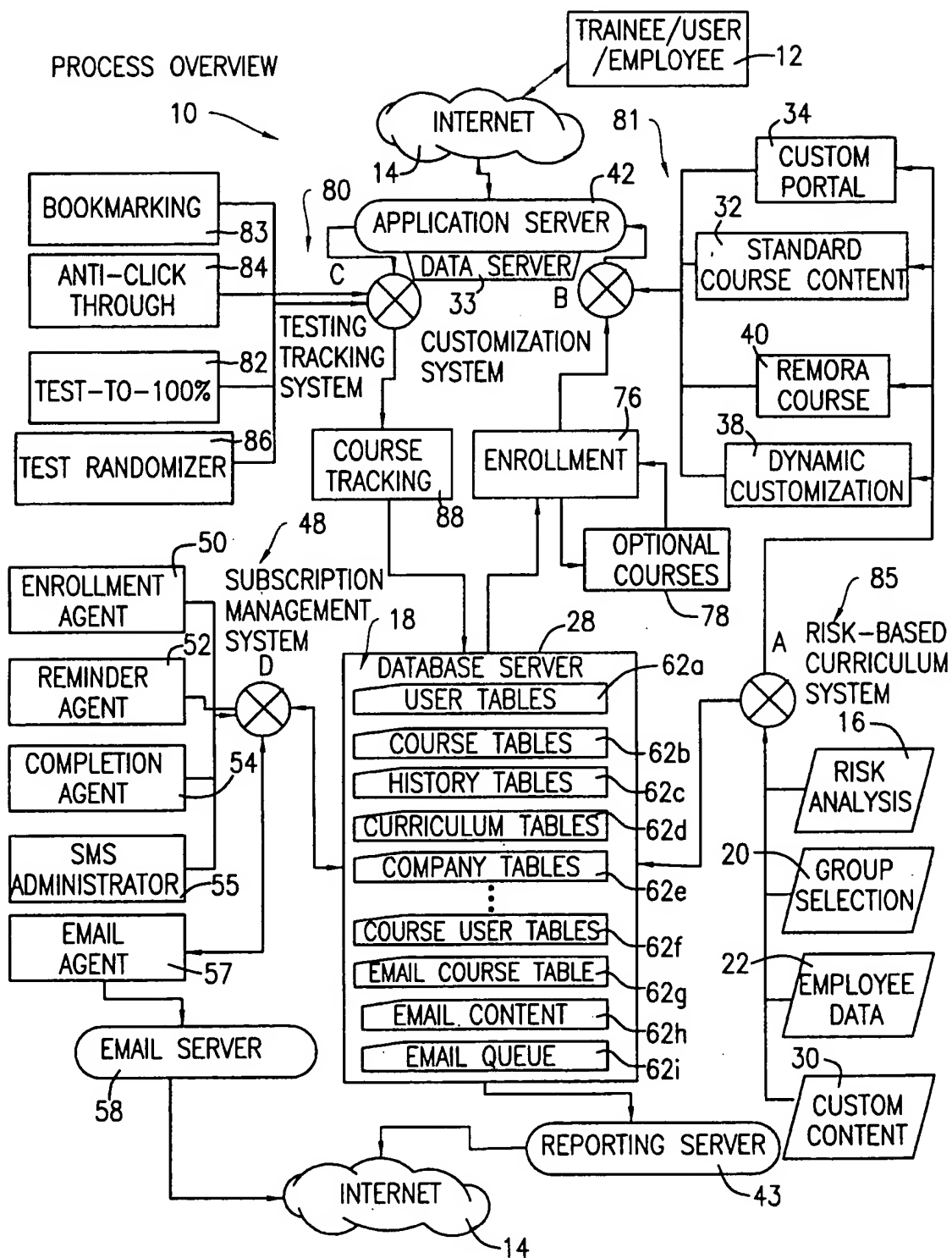


FIG. 1

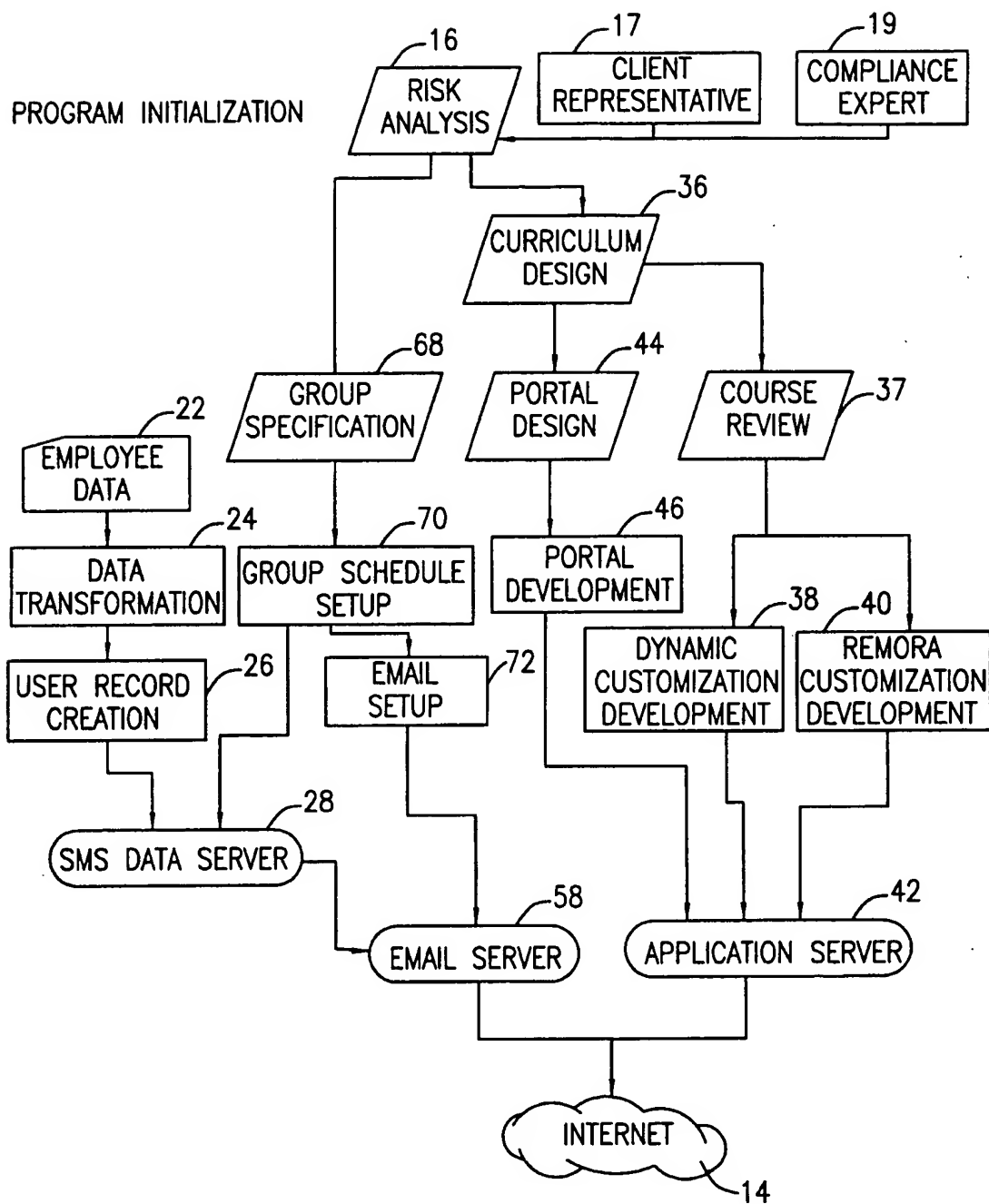
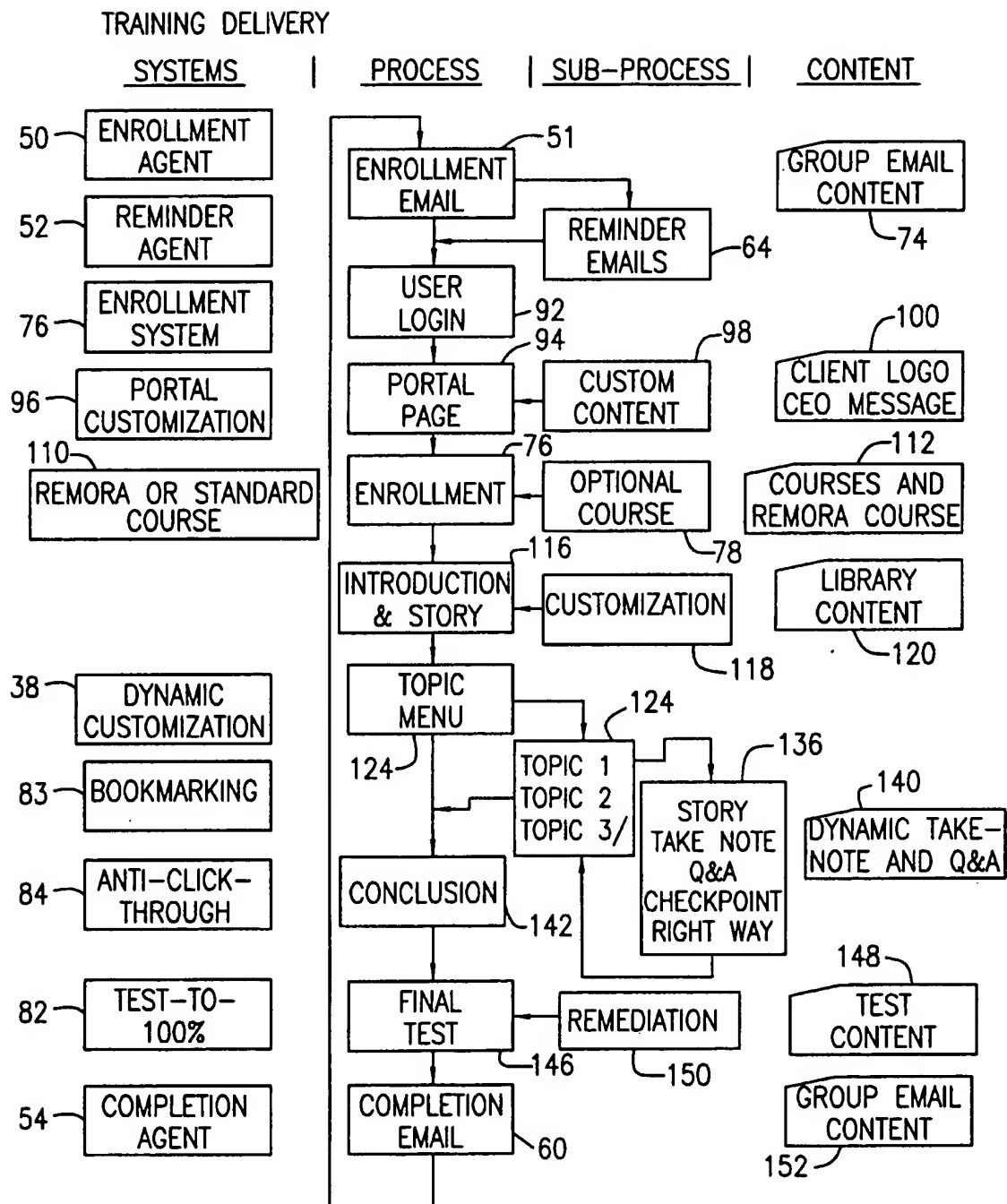
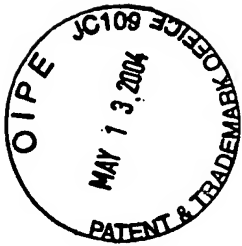


FIG. 2



**FIG. 3**



# XYZ CORPORATION

LOGOFF

ETHICS AND COMPLIANCE PORTAL

WELCOME. PAUL SMITT!



RICHARD ANDERSON  
CEO/PRESIDENT

WELCOME TO XYZ CORPORATION'S ETHICS AND COMPLIANCE PORTAL. OUR COMPANY IS COMMITTED TO CONDUCTING BUSINESS ACCORDING TO HIGH ETHICAL PRINCIPLES AND PRACTICES. HERE AT XYZ, WE TREAT ONE ANOTHER, OUR CLIENTS, AND OUR COMMUNITY WITH RESPECT.

ON THIS PAGE, THERE ARE TOOLS, TRAINING, INFORMATION, AND OTHER RESOURCES TO HELP YOU DO YOUR JOB IN A WAY THAT SUPPORTS XYZ'S VALUES. PLEASE TAKE A FEW MINUTES TO EXPLORE THIS PAGE. WHEN YOU ARE READY TO TAKE THE TRAINING, CLICK ON STEP 1, LOCATED ON THE RIGHT-HAND SIDE OF THIS PAGE. IF YOU HAVE QUESTIONS OR COMMENTS, WE ENCOURAGE YOU TO USE THE "CONTACT US" LINK BELOW.

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READ A MESSAGE  
FROM THE CEO

TAKE THIS COURSE  
ANTITRUST

TAKE OTHER COURSES

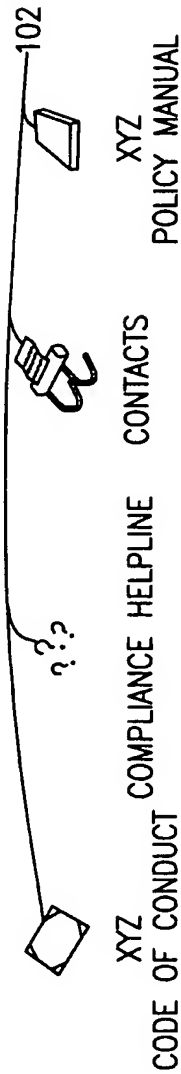


FIG. 4

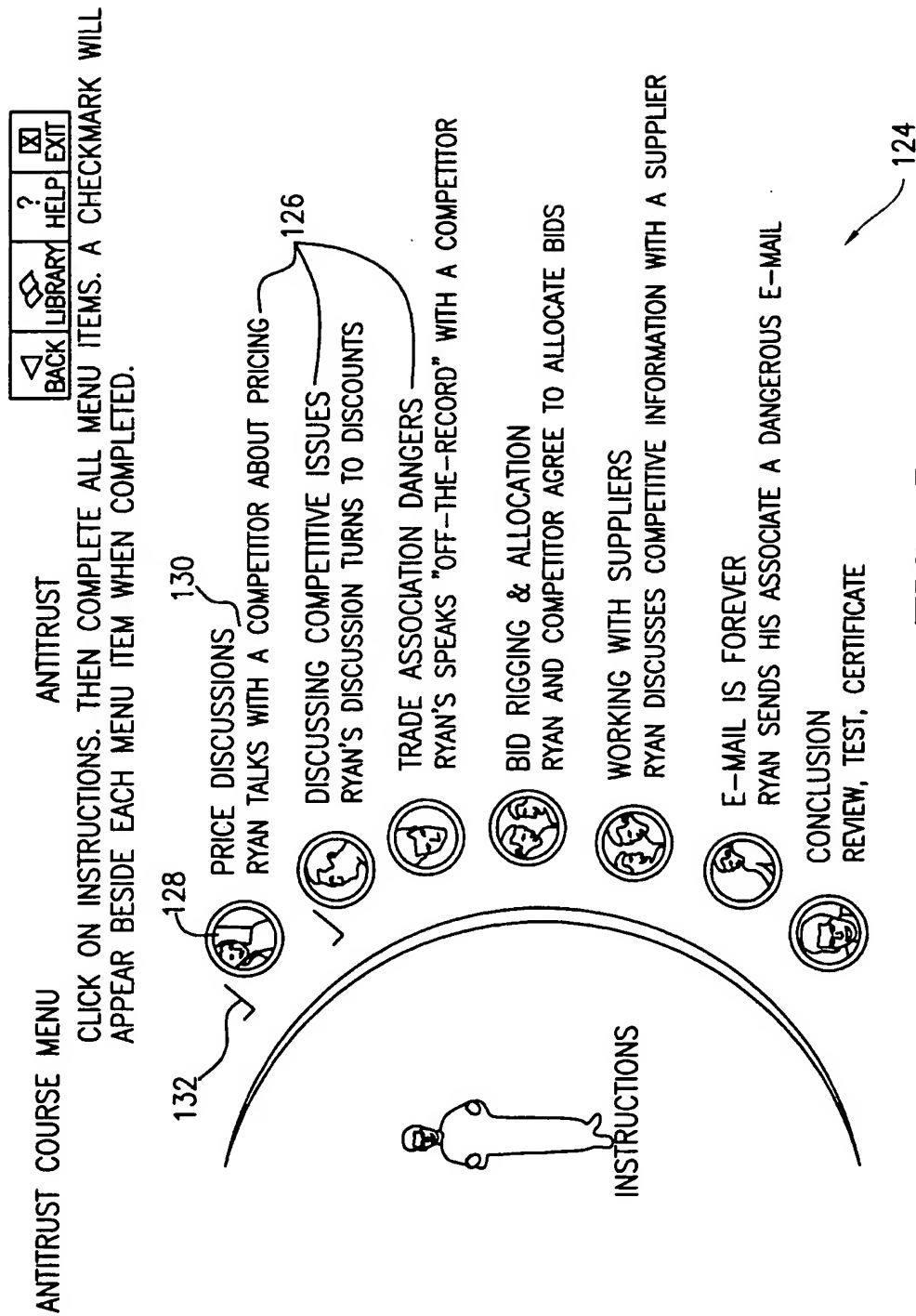
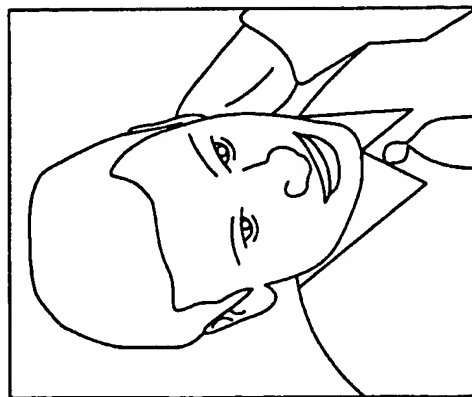
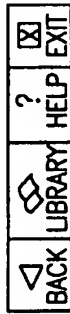


FIG. 5

# TRADE ASSOCIATION DANGERS



RYAN ASKS TOM TO KEEP THEIR  
 DISCUSSIONS "OFF-THE-RECORD" ...



## ANTITRUST

DIRECTIONS: READ THE TEXT, CLICK UNDERLINED WORDS, AND COMPLETE ITEMS 1-5.

NEVER CONDUCT "OFF-THE-RECORD" DISCUSSIONS WITH A COMPETITOR.

THE GOVERNMENT'S EVIDENCE IN PRICE-FIXING CASES OFTEN CAN BE TRACED TO TRADE  
 ASSOCIATION MEETINGS. WHEN IT COMES TO POSSIBLE ANTI-COMPETITIVE CONDUCT,  
 NOTHING'S "OFF-THE-RECORD."

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SOME OTHER KEY TRADE ASSOCIATION RULES:

- TRADE ASSOCIATION MEETINGS ARE NO PLACE FOR PEOPLE WHO DON'T UNDERSTAND THE ANTITRUST RULES. DON'T GO IF YOU HAVEN'T HAD ANTITRUST TRAINING OR IF YOU DON'T KNOW THE RISKS.
- TRADE ASSOCIATION AGENDAS, MINUTES OR STANDARD-SETTINGS ACTIVITIES SHOULD BE REVIEWED BY AN ANTITRUST LAWYER.
- IF SOMEONE BEGINS TO DISCUSS A DANGEROUS SUBJECT(e.g.,PRICE), DON'T JUST SIT THERE! LOUDLY SAY THAT THE DISCUSSION IS IMPROPER AND THAT YOU ARE LEAVING. THEN GET OUT OF THERE AND CALL YOUR COMPANY'S LEGAL COUNSEL.

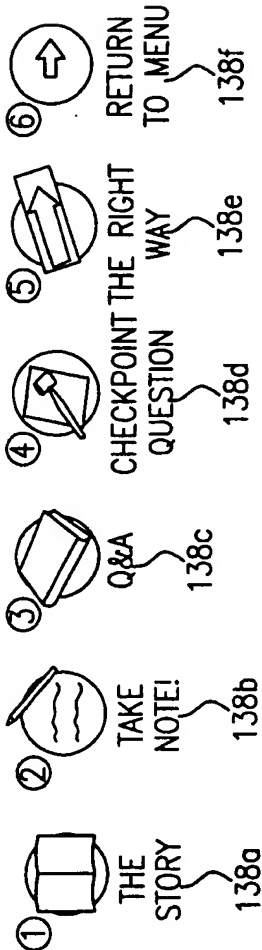


FIG. 6